

General information about company

Scrip code	531179
NSE Symbol	ARMANFIN
MSEI Symbol	
ISIN	INE109C01017
Name of the company	ARMAN FINANCIAL SERVICES LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter-Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	Yes	No	Yes	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	No	Yes	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	Yes	Yes	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	10	2763675			2763675	39.59	1559201	12	1559213	26.99
(B)	Public	5235	4217618			4217618	60.41	4217618		4217618	73.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	5245	6981293			6981293	100	5776819	12	5776831	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				31.15					1559201
(B)	Public	1890417		1890417	68.85	29595	0.7			3960278
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total	1890417		1890417	100	29595	0.42			5519479

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	9	1393801			1393801	19.96	1393801	0	1393801	24.13
(d)	Any Other (specify)	1	1369874			1369874	19.62	165400	12	165412	2.86
Sub-Total (A) (1)		10	2763675			2763675	39.59	1559201	12	1559213	26.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)		10	2763675			2763675	39.59	1559201	12	1559213	26.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(e)	Foreign Portfolio Investors	1	4720			4720	0.07	4720		4720	0.08
(f)	Financial Institutions/ Banks	2	2321			2321	0.03	2321		2321	0.04
Sub-Total (B) (1)		3	7041			7041	0.1	7041		7041	0.12
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4873	1865366			1865366	26.72	1865366		1865366	32.29
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	19	1601295			1601295	22.94	1601295		1601295	27.72
(e)	Any Other (specify)	340	743916			743916	10.66	743916		743916	12.88
Sub-Total (B) (3)		5232	4210577			4210577	60.31	4210577		4210577	72.89
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		5235	4217618			4217618	60.41	4217618		4217618	73.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		5245	6981293			6981293	100	5776819	12	5776831	100
Total (A+B+C)		5245	6981293			6981293	100	5776819	12	5776831	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X1)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				15.71					1393801
(d)				15.44					165400
Sub-Total (A) (1)				31.15					1559201
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2)				31.15					1559201
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(e)	0		0	0.05	0	0			4720
(f)	0		0	0.03	0	0			2321
Sub-Total (B) (1)	0		0	0.08	0	0			7041
(3)	Non-institutions								
(a(i))	0		0	21.03	600	0.03			1652426
(a(ii))	0		0	18.05	0	0			1601295
(c)	1890417		1890417	29.69	28995	3.9			699516
Sub-Total (B) (3)	1890417		1890417	68.77	29595	0.7			3953237
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)	1890417		1890417	68.85	29595	0.7			3960278
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)	1890417		1890417	100	29595	0.42			5519479
Total (A+B+C)	1890417		1890417	100	29595	0.42			5519479
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage								Textual Information(1)	

Text Block

Textual Information()

The Company has 12,04,474 Class "A" ordinary equity shares (DVRs) of Rs. 10/- each, which are held by only one shareholder. These shares possess inferior rights as to voting (one voting right for every 1,00,000 shares). Considering that DVRs are not listed on any stock exchange, they have not been dematerialized by the Company. These DVRs will be extinguished pursuant to the scheme of arrangement filed with NCLT and for that both the stock exchanges have given their No Objection

Number of equity shares held in dematerialized form (XIV)	196000	288522	13244	296674	278830	30606	247809
Reason for not providing PAN							
Reason for not providing PAN							
Shareholder type							

Individuals/Hindu undivided Family			
Serial No.	8	9	
Name of the Shareholders (I)	MAULIK AMIT MANAKIWALA	SAJNI AALOK PATEL	Click here to go back
PAN (II)	ALLPM3753C	AVQPS8494K	Total
No. of fully paid up equity shares held (IV)	800	41316	1393801
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	800	41316	1393801
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.59	19.96
Number of Voting Rights held in each class of securities (IX)			
Class eg:X	800	41316	1393801
Class eg:y	0	0	0
Total	800	41316	1393801
Total as a % of Total Voting rights	0.01	0.72	24.13
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	0.01	0.47	15.71
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	800	41316	1393801
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type			

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	Click here to go back
Name of the Shareholders (I)	NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	
PAN (II)	AAOFN4350J	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	1369874	1369874
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1369874	1369874
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	19.62	19.62
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	165400	165400
Class eg:y	12	12
Total	165412	165412
Total as a % of Total Voting rights	2.86	2.86
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	15.44	15.44
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	165400	165400
Reason for not providing PAN		
Reason for not providing PAN		

Shareholder type		
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Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.						
Serial No.	1	2	3	4	5	6
Name of the Shareholders (I)	AVINASH P WADHWA	BABULALL DUGAR	Bharti Jain	MUKUL MAHAVIR AGARWAL	OM PRAKASH DAMANI	VINOD KUMAR DUGAR
PAN (II)	AAIPW9608A	AEAPD5581P	ACVPJ5170F	AAPFP7724D	AIHPD3768R	AANPD6487B
No. of fully paid up equity shares held (IV)	120000	136715	80668	575000	74251	91760
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	120000	136715	80668	575000	74251	91760
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.72	1.96	1.16	8.24	1.06	1.31
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	120000	136715	80668	575000	74251	91760
Class eg:y						
Total	120000	136715	80668	575000	74251	91760
Total as a % of Total Voting rights	2.08	2.37	1.4	9.95	1.29	1.59
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.35	1.54	0.91	6.48	0.84	1.03
Number of Locked in shares (XII)						
No. (a)	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	120000	136715	80668	575000	74251	91760
Reason for not providing PAN						
Reason for not providing PAN						

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	
Serial No.	
Name of the Shareholders (I)	Click here to go back
PAN (II)	Total
No. of fully paid up equity shares held (IV)	1078394
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1078394
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	15.45
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	1078394
Class eg:y	
Total	1078394
Total as a % of Total Voting rights	18.67
No. Of Shares Underlying Outstanding convertible securities (X)	0
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	12.16
Number of Locked in shares (XII)	
No. (a)	0
As a % of total Shares held (b)	0
Number of equity shares held in dematerialized form (XIV)	1078394
Reason for not providing PAN	
Reason for not providing PAN	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	Bodies Corporate	Clearing Members	IEPF	Non-Resident Indian (NRI)	Others	Others	Others
Category / More than 1 percentage	Category	Category	Category	Category	Category	More than 1 percentage of shareholding	Category
Name of the Shareholders (I)					NON RESIDENT INDIANS (REPAT)	DEBASHISH NEOGI	NON RESIDENT INDIANS (NON REPAT)
PAN (II)						ABFPPN8889A	
No. of the Shareholders (I)	73	43	1	1	119	1	53
No. of fully paid up equity shares held (IV)	178668	42022	127891	13700	242132	141314	110508
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	178668	42022	127891	13700	242132	141314	110508
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.56	0.6	1.83	0.2	3.47	2.02	1.58
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	178668	42022	127891	13700	242132	141314	110508
Class eg:y							
Total	178668	42022	127891	13700	242132	141314	110508
Total as a % of Total Voting rights	3.09	0.73	2.21	0.24	4.19	2.45	1.91
No. Of Shares Underlying Outstanding convertible securities (X)	0	0	0	1890417	0	0	0
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	0	0	1890417	0	0	0
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.01	0.47	1.44	21.46	2.73	1.59	1.25
Number of Locked in shares (XII)							
No. (a)	0	0	0	0	0	0	0
As a % of total Shares held (b)	0	0	0	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	147968	42022	127891	0	242132	141314	110508
Reason for not providing PAN							
Reason for not providing PAN							

Any Other (specify)

Serial No.	8	
Category	ESOP or ESOS or ESPS	
Category / More than 1 percentage	Category	
Name of the Shareholders (I)		Click here to go back
PAN (II)		Total
No. of the Shareholders (I)	50	340
No. of fully paid up equity shares held (IV)	28995	743916
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	28995	743916
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.42	10.66
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	28995	743916
Class eg:y		
Total	28995	743916
Total as a % of Total Voting rights	0.5	12.87
No. Of Shares Underlying Outstanding convertible securities (X)	0	1890417
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	0	1890417
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.33	29.69
Number of Locked in shares (XII)		
No. (a)	28995	28995
As a % of total Shares held (b)	100	3.9
Number of equity shares held in dematerialized form (XIV)	28995	699516
Reason for not providing PAN		
Reason for not providing PAN		

significant beneficial owners

Sr. No.	Details of the SBO					Details of the registered owner					Details of holding/ exercise of right of the SBO of the reporting company, whether direct or indirect				
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:				
											Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence
1	MUKUL AGRAWAL	AAFPA7724D		India		PARAM CAPITAL	AAPFP7724D		India		8.27	10	8.27	No	No
Total:											8.27	10	8.27		
2	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		JAYENDRA BHAILAL PATEL-HUF	AABHP2573C		India		2.82	3.41	2.82	Yes	Yes
3	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		9.85	1.44	9.85	Yes	Yes
4	JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		JAYENDRABHAI BHAILALBHAI PATEL	ABBPP2876K		India		4.15	5.02	4.15	Yes	Yes
Total:											16.82	9.87	16.82		
5	RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		4.925	0.72	4.93	Yes	Yes
6	RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		RITABEN JAYENDRABHAI PATEL	ABOPP5894N		India		4.27	5.16	4.27	Yes	Yes
Total:											9.195	5.88	9.2		
7	ALOK JAYENDRA PATEL	AJHPP9910G		India		NAMRA HOLDINGS AND CONSULTANCY SERVICES LLP	AAOFN4350J		India		4.925	0.72	4.93	Yes	Yes
8	ALOK JAYENDRA PATEL	AJHPP9910G		India		ALOK JAYENDRA PATEL	AJHPP9910G		India		3.56	4.31	3.56	Yes	Yes
Total:											8.485	5.03	8.49		