



# Arman Financial Services Limited

Registered Office: 502-503, SAKAR III, OPP. OLD HIGH COURT, OFF ASHRAM ROAD, AHMEDABAD-380014, GUJARAT, INDIA  
PH.: +91-79-40507000, 27541989 E-mail: finance@armanindia.com CIN: L55910GJ1992PLC018623

Date: May 25, 2023

To, BSE Limited P. J. Tower, Dalal Street, Mumbai-400001	To, National Stock Exchange of India Limited "Exchange Plaza" C-1, Block G, Bandra Kurla Complex, Bandra, Mumbai- 400051
<b>Script Code: 531179</b> <b>ISIN: INE109C01017</b>	<b>Symbol: ARMANFIN</b> <b>Series: EQ</b>

Dear Sir,

**Sub: Intimation under Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to Regulation 30 read with Schedule III Part A Para A of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, and SEBI Circular bearing reference No. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 dated 16<sup>th</sup> March 2023, we wish to inform that the enclosed letter is being sent to identified shareholders urging them to update their KYC details.

The aforesaid intimation shall be placed on the Company's website at [www.armanindia.com](http://www.armanindia.com)

Thanking you,

Yours faithfully,

**For, Arman Financial Services Limited**

Jaimish Patel  
Company Secretary  
M. No.-A42244



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Date: May 23, 2023

Folio No:

Ref: Mandatory furnishing of PAN, KYC and Nomination by holders of Physical Securities

Dear Shareholder,

This is to inform you that SEBI vide its Circular **SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655** dated November 3, 2021 read with **SEBI Circular SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/687** dated December 14, 2021, **SEBI/HO/MIRSD/MIRSD-POD-1/P/CIR/2023/37** dated March 16, 2023 had mandated investors holding securities in physical mode of listed companies to furnish below details:

1. Valid PAN including that of joint shareholders **duly linked with Aadhaar**
2. Nomination (If shares are held in single name)
3. Postal Address with Pin code, Email id and Mobile Number
4. Bank Account details (Bank Name, Branch, Bank Account No, IFSC Code and MICR code)
5. Specimen Signature

**This is to further inform you that, any service requests or complaints received from the shareholder(s) / claimant(s) will not be processed by our Registrars and Share Transfer Agent till PAN, KYC and Nomination documents/details are received in compliance with the SEBI circular.**

It may be noted that:-

- **The investors holding listed securities in physical mode are required to furnish all the above-mentioned details immediately, failing which all such physical folios shall stand frozen with effect from October 1, 2023.**
- **Where valid PAN (linked with Aadhaar) is not registered, such Folios shall stand frozen with effect from October 1, 2023.**

The securities pertaining to investors which are in the frozen folios shall be eligible for dividend amount only through electronic mode upon complying with the above-mentioned requirements as prescribed vide SEBI circulars mentioned in the foregoing paragraph.

We observe from our records that you are holding shares in physical form. In order to keep your portfolio in active status as prescribed under the SEBI Circular, you are requested to send us duly completed forms *immediately* enclosing self-attested proofs as mentioned below along with the respective forms, with date of attestation.

S No.	Particulars	Status as per RTA / Company records	Furnish details in
1	PAN	Please provide PAN	Form No. ISR-1(Form Attached)
2	Address	Please provide Pincode	
3	Email address	Please provide Email	
4	Mobile Number	Please Provide Mobile Number	
5	Bank account details	Please provide Core Banking A/C details	Form No. SH13 (Form Attached)
6	Nomination details	Please Provide Nomination details	
7	Specimen Signature	Specimen Signature Registered	

The securities held in physical form which stand frozen till **December 31, 2025, shall be referred by RTA / the Company to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and / or Prevention of Money Laundering Act, 2002.**

**We request you to dematerialize your shares with your Depository Participant at the earliest as prescribed by SEBI.**

Thanking you,  
Yours truly,

**For, Arman Financial Services Limited**

Sd/-

Jaimish Patel

Company Secretary & Compliance Officer

**Encl: Form ISR-1, Form ISR-2, Form ISR-3 and SH-13**

# Form ISR – 1

(SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

## REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

A. I / We, request you to Register / Change / Update the following (Tick ✓ relevant box)

Date : / /

<input type="checkbox"/> PAN	<input type="checkbox"/> Signature	<input type="checkbox"/> Mobile Number
<input type="checkbox"/> Bank details	<input type="checkbox"/> Registered Address	<input type="checkbox"/> E-mail address
Kindly Quote the Serial No as printed in your KYC Form >>		[ ]

B. Security and KYC Details [ to be filled in by the First Holder ]

Name of the Issuer Company	Arman Financial Services Limited	Folio No(s)	
Face value of Securities		Number of Securities	
Distinctive number of Securities (Optional)	From	To	
E-mail Address			
Mobile Number			

C. I/We are submitting documents as per Table below (tick ✓ as relevant, refer to the instructions):

Name(s) of the Security holder(s) in Capital as per PAN Copies of PAN of all the Holder(s) duly self-attested with date to be enclosed with this Form.	PAN	PAN Linked to Aadhaar -Y/N Tick any one [✓] *
1.		Yes / No
2.		Yes / No
3.		Yes / No
4.		Yes / No

Note: \* PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any other date as may be specified by CBDT.

To know the status of your Pan Linked to Aadhaar check on this link: <https://www.incometax.gov.in/iec/foportal>

### Bank Account Details of First Holder

Name of the Bank & Branch	IFSC	
Bank A/c No.	Tick any one [✓]- Acct type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> Any other [ ]	

Note: Original cancelled cheque leaf bearing the name of the first holder is mandatory, failing which first security holder shall submit copy of bank passbook / statement attested by the Bank for registering the Bank Account details.

Demat Account Number	16 digit DP/CL [ ]
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Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.

**Authorization:** I / We authorise you (RTA) to update the above PAN and KYC details in my / our above folio(s) (use Separate Annexure if extra space is required) in which I / we are the holder(s). [ strike off what is not applicable ]

**Declaration:** All the above facts and documents enclosed are true and correct.

	First Holder	Joint Holder - 1	Joint Holder - 2	Joint Holder - 3
Signature				
Name				
Address				
PIN				

Note: If the address mentioned above differs from the address registered with the Company, you are requested to record the new address by submitting the documents as specified in point (3) overleaf.

I/We are submitting documents as per Table below (tick✓ as relevant, refer to the instructions):

No.	✓	Document/Information/Details	Instruction/Remark
1	<input type="checkbox"/>	<b>PAN of (all) the (joint) holder(s)</b>	PAN copies of all the holder(s) duly self-attested with date to be enclosed. PAN shall be valid only if it is linked to Aadhaar by March 31, 2022, or any date as may be specified by the CBDT. For Exemptions / Clarifications on PAN, please refer to Objection Memo as specified in SEBI circular.
2	<input type="checkbox"/>	<b>Demat Account Number</b>	Provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.
3		<b>Proof of Address of the first Holder</b>	<p><b>Provide self attested copy of any ONE of the documents, issued by a Govt. Authority, only if there is change in the address;</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> Client Master List (CML) of your Demat Account, provided by the Depository Participant.</li> <li><input type="checkbox"/> Valid Passport/ Registered Lease or Sale Agreement of Residence/ Driving License/Flat Maintenance Bill*</li> <li><input type="checkbox"/> Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.</li> <li><input type="checkbox"/> Identity card (with Photo) / document with address, issued by Central/State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions.</li> <li><input type="checkbox"/> For FII / sub account, Power of Attorney given by FII / sub-account to the Custodians (which are duly notarized and / or apostilled or consularised) that gives the registered address should be taken.</li> <li><input type="checkbox"/> The proof of address in the name of the spouse*</li> </ul> <p><b>* Kindly provide additional self-attested copy of Identity Proof of the holder/ claimant.</b></p>
4	<input type="checkbox"/>	<b>Bank details</b>	Provide the latest copy of the bank statement with details of bank name, branch, account number and IFSC or Original cancelled cheque leaf bearing the name of first holder. Alternatively, Bank details available in the CML as enclosed will be updated in the folio.
5	<input type="checkbox"/>	<b>E-mail address</b>	As mentioned on Form ISR-1, alternatively the E-mail address available in the CML as enclosed will be updated in the folio.
6	<input type="checkbox"/>	<b>Mobile</b>	As mentioned on Form ISR-1, alternatively the mobile number available in the CML as enclosed will be updated in the folio.
7	<input type="checkbox"/>	<b>Specimen Signature</b>	Provide banker's attestation of the signature of the holder(s) as per Form ISR – 2 and Original cancelled cheque leaf bearing the name of the first holder.
8		<b>Nomination</b>	<p><b>Submit Form(s) as per any ONE of the following options.</b></p> <ul style="list-style-type: none"> <li><input type="checkbox"/> <b>SH-13</b> For First Time Nomination</li> <li><input type="checkbox"/> <b>SH-14</b> For Change in Existing Nomination</li> <li><input type="checkbox"/> <b>SH-14 and ISR-3</b> For Cancellation of existing Nomination and to "Opt-Out"</li> <li><input type="checkbox"/> <b>ISR-3 To "OPT-Out"</b> of Nomination or if No-Nomination is required</li> </ul>

**Note:** All the above forms are also available on the website of the RTA.

# Form ISR – 2

SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

## Confirmation of Signature of Securities Holder by the Banker

Serial No : \_\_\_\_\_

as mentioned in KYC Form

<b>1. Bank Name and Branch</b>			
<b>2. Bank contact details</b>			
Postal Address			
Mobile/Tel number			
E-mail address			
<b>3. Bank Account number</b>			
<b>4. Account opening date</b>			
<b>5. Account holder's PAN</b>	<b>Account Holder's Name</b>		
i)	i)		
ii)	ii)		
iii)	iii)		
iv)	iv)		
<b>6. Latest photograph of the account holder(s)</b>			
<div style="border: 1px solid black; width: 150px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">             i)- Holder Photo           </div>	<div style="border: 1px solid black; width: 150px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">             ii)- Holder Photo           </div>	<div style="border: 1px solid black; width: 150px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">             iii)- Holder Photo           </div>	<div style="border: 1px solid black; width: 150px; height: 100px; margin: 0 auto; display: flex; align-items: center; justify-content: center;">             iv)- Holder Photo           </div>
<b>7. Account holder(s) details as per Bank Records</b>			
a) Address			
b) Mobile/Tel number			
c) Email address			
d) Signature(s) of the Holder(s)	<div style="border: 1px solid black; width: 200px; height: 60px; margin: 0 auto; display: flex; align-items: center; justify-content: center;"> <span style="font-size: small;">Bank Manager's Signature and Bank Seal</span> </div>		
i)  _____			
ii)  _____			
iii)  _____			
iv)  _____			
-- (To be Mandatorily Filled by the Bank Official) --			
Place:	Name of the Bank Manager :		
Date:	Employee Code :		
Mobile / Tel no:	Email_id :		

# Form ISR - 3

## Declaration Form for Opting-out of Nomination by Holders of Physical Securities in Listed Companies

(SEBI circular No. SEBI/HO/MIRSD/MIRSD\_RTAMB/P/CIR/2021/655 dated November 03, 2021)

Date: / /

Serial No: \_\_\_\_\_  
(As mentioned in KYC Form)

Name of the Company : Arman Financial services Limited

Address of the Company : 502-503, Sakar-III, Opp. Old High court, Off Ashram Road, Ahmedabad-380014

### PARTICULARS OF THE SECURITIES (in respect of which nomination is being opted out)

Nature of Securities Tick ✓ as relevant	Folio No.	No. of Securities *	Certificate No.	Distinctive No(s) ( From – To )
Equity / Debentures				

I / We the holder(s) of the securities particulars of which are given hereinabove, **do not wish to nominate** any person(s) in whom shall vest, all the rights in respect of such securities in the event of my /our death.

I / We understand the issues involved in non-appointment of nominee(s) and further are aware that in case of my / our death, my / our legal heir(s) / representative(s) are required to furnish the requisite documents / details, including, Will or documents issued by the Court like Decree or Succession Certificate or Letter of Administration / Probate of Will or any other document as may be prescribed by the competent authority, for claiming my / our aforesaid securities.

### Signature(s) as per Specimen recorded with the Company.

First Holder	Joint Holder -1	Joint Holder -2	Joint Holder -3
<u>Signature</u>			
<u>Name</u>			

### Witness Details:

Name of Witness		Signature	
Address of Witness			
	Pin: _____	Date	

\* Use of ISR-3 (ie to Opt-Out of Nomination OR if "No\_Nomination" is required by the investor ) will be applied for the entire securities against the said Folio.

**Form No. SH-13**

**Nomination Form**

Pursuant to section 72 of the Companies Act, 2013 and rule  
19(1) of the Companies (Share Capital and Debentures) Rules 2014]

Date: / /

To, **Arman Financial services Limited**  
Name of the Company : \_\_\_\_\_  
Address of the Company: **502-503, Sakar-III, Opp. Old High court, Off Ashram Road, Ahmedabad-380014**

Serial No: \_\_\_\_\_  
(As mentioned in KYC Form)

I/We, the holder(s) of the securities particulars of which are given hereunder, wish to make nomination and do hereby nominate the following persons in whom shall vest, all the rights in respect of such securities in the event of my/our death.

**(1) PARTICULARS OF THE SECURITIES (in respect of which nomination is being made) :**

Nature of Securities Tick ✓ as relevant	Folio No.	No. of Securities*	Certificate No.	Distinctive No(s) ( From – To )
Equity / Debs/ Bonds				

**(2) PARTICULARS OF NOMINEE/S — [Use photocopies of this blank nomination form in case of additional Multiple Nominations in the same folio ]**

Name of Nominee			
Address of Nominee		Date of Birth	{ - - }
Father's/Mother's/ Spouse's name		Occupation	
Relationship with the security holder		Nationality	
E-mail_id		Mobile No	

**(3) IN CASE NOMINEE IS A MINOR —**

Name of Guardian		Date of Birth	{ - - }
Address of Guardian		Date of attaining majority	{ - - }

Signature(s) as per Specimen recorded with the Company.

	First Holder	Joint Holder -1	Joint Holder -2	Joint Holder -3
Signature				
Name				

**Witness Details:**

Name of Witness		Signature	
Address of Witness	Pin: _____		
		Date	

\* Nomination will be registered for entire holding in the folio. In case of more than one nominee, the ratio should be furnished & separate form to be filled for each nominee.